



# GOENKA BUSINESS & FINANCE LTD.

NBFC RBI CIC NO : 05.00614

Date: 20.01.2022

To,  
Department of Corporate Services  
BSE Limited,  
Ground Floor, PJ Towers,  
Dalal Street Fort,  
Mumbai-400001

To,  
Metropolitan Stock Exchange of India Limited  
Vibgyor Towers, 4<sup>th</sup> Floor, Plot no.C62, G-block,  
Opp. Trident Hotel, BandraKurla Complex,  
Bandra(E)  
Mumbai-400098(India)

To,  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata-700001

BSE Script Code: 538787

MCX Script Code: GBFL

CSE Script Code:17407

Sub: Quarterly Compliance of Shareholding Pattern as per SEBI Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015 as on 31.12.2021

Dear Sir,

We are enclosing herewith the details of Shareholding Pattern of the Company pursuant as per Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015, For the Quarter and Nine Month ended 31<sup>st</sup> December, 2021.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.

**FOR GOENKA BUSINESS & FINANCE LTD**

*D.R. Solanki*

COMPANY SECRETARY / AUTHORISED SIGNATORY

Dharmik Solanki

Company Secretary & Compliance Officer

**Registered Office**

18, Rabindra Sarani Poddar Court,  
Gate- 4, 2nd Floor, Room 17,  
Kolkata - 700001  
90514668474

**Email:** goenkabusiness1987@gmail.com  
**Web:** www.goenkabusinessfinancelimited.in  
**CIN:** L67120WB1987PLC042960

**Corporate Office**

9 Sadguru Complex, 4th Floor Shivrangani  
Cross Road,  
Ahmedabad, Gujarat 380015  
9978622878

General information about company	
Scrip code	538787
NSE Symbol	
MSEI Symbol	GBFL
ISIN	INE997C01015
Name of the company	Goenka Business & Finance Ltd
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	1938000			1938000	14.91	1938000		1938000	14.91
(B)	Public	4567	11062100			11062100	85.09	11062100		11062100	85.09
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	4568	13000100			13000100	100	13000100		13000100	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				14.91					1938000
(B)	Public				85.09					10981247
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					12919247

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	1938000			1938000	14.91	1938000		1938000	14.91
Sub-Total (A) (1)		1	1938000			1938000	14.91	1938000		1938000	14.91
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	1938000			1938000	14.91	1938000		1938000	14.91
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
( 3 )	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4359	2939859			2939859	22.61	2939859		2939859	22.61
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	54	3887136			3887136	29.9	3887136		3887136	29.9
(e)	Any Other (specify)	154	4235105			4235105	32.58	4235105		4235105	32.58
Sub-Total (B) (3)		4567	11062100			11062100	85.09	11062100		11062100	85.09
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		4567	11062100			11062100	85.09	11062100		11062100	85.09
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		4568	13000100			13000100	100	13000100		13000100	100
Total (A+B+C )		4568	13000100			13000100	100	13000100		13000100	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				14.91					1938000
Sub-Total (A) (1)				14.91					1938000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				14.91					1938000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
( 3 )	Non-institutions								
(a(i))				22.61					2859006
(a(ii))				29.9					3887136
(e)				32.58					4235105
Sub-Total (B) (3)				85.09					10981247
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				85.09					10981247
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					12919247
Total (A+B+C )				100					12919247

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	RISE HIGH TRACOM PRIVATE LIMITED	
PAN (II)	AACCR9249M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	1938000	1938000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1938000	1938000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.91	14.91
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1938000	1938000
Class eg:y		
Total	1938000	1938000
Total as a % of Total Voting rights	14.91	14.91
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	14.91	14.91
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total		



Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1938000	1938000
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	VORA PRANAV PRAFULCHANDRA	SWARUP GUCHHAIT	Nikhil Maheshkumar Shah	HEMENDRA RATILAL MEHTA	PRADEEP RAMESHWAR SHARMA	NISHIL SURENDRA MARFATIA	KAUJILKUMAR HASMUKHBHAI SHAH
PAN (II)	ACYPV7581R	AHGP5098R	ALLPS1223P	AAVPM3169K	AHQPS7621E	ADWPM9452H	BGKPS5616K
No. of fully paid up equity shares held (IV)	1000000	227500	225000	197528	190000	144889	142500
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1000000	227500	225000	197528	190000	144889	142500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.69	1.75	1.73	1.52	1.46	1.11	1.1
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1000000	227500	225000	197528	190000	144889	142500
Class eg:y							
Total	1000000	227500	225000	197528	190000	144889	142500
Total as a % of Total Voting rights	7.69	1.75	1.73	1.52	1.46	1.11	1.1
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	7.69	1.75	1.73	1.52	1.46	1.11	1.1
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares							

held (b)							
Number of equity shares held in dematerialized form (XIV)	1000000	227500	225000	197528	190000	144889	142500
Reason for not providing PAN							
Reason for not providing PAN							

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	
Searial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	2127417
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2127417
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	16.36
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	2127417
Class eg:y	
Total	2127417
Total as a % of Total Voting rights	16.36
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	16.36
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	2127417
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Non-Resident Indian (NRI)	HUF	Clearing Members	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)					MIDLAND FINANCIAL ADVISORY PRIVATE LIMITED	EVERGROWING IRON AND FINVEST LTD.	KOMALAY TECHTRONICS PRIVATE LIMITED
PAN (II)					AAGCM6001J	AAACE1287C	AAGCK0391R
No. of the Shareholders (I)	11	78	14	51	1	1	1
No. of fully paid up equity shares held (IV)	25189	370960	109877	3729079	1473700	1200000	300000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	25189	370960	109877	3729079	1473700	1200000	300000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.19	2.85	0.85	28.69	11.34	9.23	2.31
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	25189	370960	109877	3729079	1473700	1200000	300000
Class eg:y							
Total	25189	370960	109877	3729079	1473700	1200000	300000
Total as a % of Total Voting rights	0.19	2.85	0.85	28.69	11.34	9.23	2.31
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.19	2.85	0.85	28.69	11.34	9.23	2.31
Number of Locked in shares (XII)							

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	25189	370960	109877	3729079	1473700	1200000	300000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Serial No.	8	9	
Category	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	IMAGINE LOGISTICS PRIVATE LIMITED	GOODPOINT COMMDEAL PRIVATE LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AACCI3971M	AAECG5864F	Total
No. of the Shareholders (I)	1	1	154
No. of fully paid up equity shares held (IV)	250000	250000	4235105
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	250000	250000	4235105
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.92	1.92	32.58
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	250000	250000	4235105
Class eg:y			
Total	250000	250000	4235105
Total as a % of Total Voting rights	1.92	1.92	32.58
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.92	1.92	32.58
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in	250000	250000	4235105

dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			



Sr. No.	Details of the SBO	Details of the registered owner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*	Date of creation / acquisition of significant beneficial interest	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
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