



# GOENKA BUSINESS & FINANCE LTD.

NBFC RBI CIC NO : 05.00614

Date:14.07.2022

To,  
Department of Corporate Services  
BSE Limited,  
Ground Floor, PJ Towers,  
Dalal Street Fort,  
Mumbai-400001

To,  
Metropolitan Stock Exchange of India Limited  
Vibgyor Towers, 4<sup>th</sup> Floor, Plot no.C62, G-block,  
Opp. Trident Hotel, BandraKurla Complex,  
Bandra(E)  
Mumbai-400098(India)

To,  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata-700001

BSE Script Code:538787

MCX Script Code: GBFL

CSE Script Code:17407

Sub: Quarterly Compliance of Shareholding Pattern as per SEBI Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015 as on 30.06.2022

Dear Sir,

We are enclosing herewith the details of Shareholding Pattern of the Company pursuant as per Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation,2015, For the Quarter ended 30th June, 2022.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.



Mr. Yasin Gori  
Whole time Director  
DIN:08221979

## Registered Office

18, Rabindra Sarani Poddar Court,  
Gate- 4, 2nd Floor, Room 17,  
Kolkata - 700001  
90514668474

Email: goenkabusiness1987@gmail.com  
Web: www.goenkabusinessfinancelimited.in  
CIN: L67120WB1987PLC042960

## Corporate Office

9 Sadguru Complex, 4th Floor Shivrani  
Cross Road,  
Ahmedabad, Gujarat 380015  
9978622878

General information about company	
Scrip code	538787
NSE Symbol	
MSEI Symbol	GBFL
ISIN	INE997C01015
Name of the company	Goenka Business & Finance Ltd
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	1938000			1938000	14.91	1938000		1938000	14.91
(B)	Public	9226	11062100			11062100	85.09	11062100		11062100	85.09
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	9227	13000100			13000100	100	13000100		13000100	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				14.91					1938000
(B)	Public				85.09					10981247
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					12919247

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	1938000			1938000	14.91	1938000		1938000	14.91
Sub-Total (A) (1)		1	1938000			1938000	14.91	1938000		1938000	14.91
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		1	1938000			1938000	14.91	1938000		1938000	14.91
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
( 3 )	Non-institutions										
(a(ii))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	9002	5048581			5048581	38.83	5048581		5048581	38.83
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	56	3891584			3891584	29.94	3891584		3891584	29.94
(e)	Any Other (specify)	168	2121935			2121935	16.32	2121935		2121935	16.32
Sub-Total (B) (3)		9226	11062100			11062100	85.09	11062100		11062100	85.09
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		9226	11062100			11062100	85.09	11062100		11062100	85.09
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		9227	13000100			13000100	100	13000100		13000100	100
Total (A+B+C )		9227	13000100			13000100	100	13000100		13000100	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				14.91					1938000
Sub-Total (A) (1)				14.91					1938000
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				14.91					1938000
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
( 3 )	Non-institutions								
(a(i))				38.83					4967728
(a(ii))				29.94					3891584
(e)				16.32					2121935
Sub-Total (B) (3)				85.09					10981247
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				85.09					10981247
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					12919247
Total (A+B+C )				100					12919247

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	RISE HIGH TRACOM PRIVATE LIMITED	
PAN (II)	AACCR9249M	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	1938000	1938000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1938000	1938000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	14.91	14.91
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1938000	1938000
Class eg:y		
Total	1938000	1938000
Total as a % of Total Voting rights	14.91	14.91
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	14.91	14.91



Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1938000	1938000
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	KASHYAPKUMAR RAJENDRAKUMAR MEHTA	Nikhil Maheshkumar Shah	SWARUP GUCHHAIT	PRADEEP RAMESHWAR SHARMA	USHA DEVI AGARWAL	KAUPILKUMAR HASMUKHBHAI SHAH
PAN (II)	ABAF1739P	ALLPS1223P	AHGP5098R	AHQPS7621E	AFDPA3167L	AFDPS3167L
No. of fully paid up equity shares held (IV)	810000	225000	205010	190000	173344	142500
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	810000	225000	205010	190000	173344	142500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.23	1.73	1.58	1.46	1.33	1.1
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	810000	225000	205010	190000	173344	142500
Class eg:y						
Total	810000	225000	205010	190000	173344	142500
Total as a % of Total Voting rights	6.23	1.73	1.58	1.46	1.33	1.1
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	6.23	1.73	1.58	1.46	1.33	1.1
Number of Locked in shares (XII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	810000	225000	205010	190000	173344	142500
Reason for not providing PAN						
Reason for not providing PAN						

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	1745854
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1745854
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.43
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1745854
Class eg:y	
Total	1745854
Total as a % of Total Voting rights	13.43
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	13.43
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	

Number of equity shares held in dematerialized form (XIV)	1745854
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	EVERGROWING IRON AND FINVEST LTD.	KOMALAY INVESTRADE PRIVATE LIMITED	ANUSTUP TRADING	<a href="#">Click here to go back</a>
PAN (II)	AAACE1287C	AAGCK0391R	AASCA1034B	Total
No. of the Shareholders (I)	1	1	1	0
No. of fully paid up equity shares held (IV)	1200000	300000	216937	0
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1200000	300000	216937	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	9.23	2.31	1.67	0
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1200000	300000	216937	0
Class eg:y				
Total	1200000	300000	216937	0
Total as a % of Total Voting rights	9.23	2.31	1.67	0
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	9.23	2.31	1.67	0

(VII)+(X) As a % of (A+B+C2)				
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1200000	300000	216937	0
Reason for not providing PAN				
Reason for not providing PAN				

Sr. No.	Details of the SBO	Details of the registered owner	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*	Date of creation / acquisition of significant beneficial interest	Name	PAN Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
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