



GOENKA BUSINESS & FINANCE LTD.

NBFC RBI CIC NO : 05.00614

Date:14.07.2022

To,
Department of Corporate Services
BSE Limited,
Ground Floor, PJ Towers,
Dalal Street Fort,
Mumbai-400001

To,
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th Floor, Plot no.C62, G-block,
Opp. Trident Hotel, BandraKurla Complex,
Bandra(E)
Mumbai-400098(India)

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata-700001

BSE Script Code:538787

MCX Script Code: GBFL

CSE Script Code:17407

Sub: Quarterly Compliance of Shareholding Pattern as per SEBI Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation, 2015 as on 30.06.2022

Dear Sir,

We are enclosing herewith the details of Shareholding Pattern of the Company pursuant as per Regulation 31 of the Security Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulation,2015, For the Quarter ended 30th June, 2022.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.



Mr. Yasin Gori
Whole time Director
DIN:08221979

General information about company

| | |
|--|-------------------------------|
| Scrip code | 538787 |
| NSE Symbol | |
| MSEI Symbol | GBFL |
| ISIN | INE997C01015 |
| Name of the company | Goenka Business & Finance Ltd |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2022 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
|---------|--|--------|-----------------------------|--------------------|-------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 1 | 1938000 | | | 1938000 | 14.91 | 1938000 | | 1938000 | 14.91 |
| (B) | Public | 9226 | 11062100 | | | 11062100 | 85.09 | 11062100 | | 11062100 | 85.09 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 9227 | 13000100 | | | 13000100 | 100 | 13000100 | | 13000100 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 14.91 | | | | | 1938000 |
| (B) | Public | | | | 85.09 | | | | | 10981247 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 12919247 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|---|----------------------------|--|--|---|---|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (d) | Any Other (specify) | 1 | 1938000 | | | 1938000 | 14.91 | 1938000 | | 1938000 | 14.91 |
| Sub-Total (A) (1) | | 1 | 1938000 | | | 1938000 | 14.91 | 1938000 | | 1938000 | 14.91 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 1 | 1938000 | | | 1938000 | 14.91 | 1938000 | | 1938000 | 14.91 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 9002 | 5048581 | | | 5048581 | 38.83 | 5048581 | | 5048581 | 38.83 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 56 | 3891584 | | | 3891584 | 29.94 | 3891584 | | 3891584 | 29.94 |
| (e) | Any Other (specify) | 168 | 2121935 | | | 2121935 | 16.32 | 2121935 | | 2121935 | 16.32 |
| Sub-Total (B) (3) | | 9226 | 11062100 | | | 11062100 | 85.09 | 11062100 | | 11062100 | 85.09 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 9226 | 11062100 | | | 11062100 | 85.09 | 11062100 | | 11062100 | 85.09 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 9227 | 13000100 | | | 13000100 | 100 | 13000100 | | 13000100 | 100 |
| Total (A+B+C) | | 9227 | 13000100 | | | 13000100 | 100 | 13000100 | | 13000100 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (d) | | | | 14.91 | | | | | 1938000 |
| Sub-Total (A) (1) | | | | 14.91 | | | | | 1938000 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 14.91 | | | | | 1938000 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 38.83 | | | | | 4967728 |
| (a(ii)) | | | | 29.94 | | | | | 3891584 |
| (e) | | | | 16.32 | | | | | 2121935 |
| Sub-Total (B) (3) | | | | 85.09 | | | | | 10981247 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 85.09 | | | | | 10981247 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 12919247 |
| Total (A+B+C) | | | | 100 | | | | | 12919247 |

Any Other (specify)

| | | |
|--|----------------------------------|---------------------------------------|
| Serial No. | 1 | |
| Category | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | RISE HIGH TRACOM PRIVATE LIMITED | |
| PAN (II) | AACCR9249M | Total |
| No. of the Shareholders (I) | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 1938000 | 1938000 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1938000 | 1938000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 14.91 | 14.91 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 1938000 | 1938000 |
| Class eg:y | | |
| Total | 1938000 | 1938000 |
| Total as a % of Total Voting rights | 14.91 | 14.91 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 14.91 | 14.91 |

| | | |
|---|----------|---------|
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 1938000 | 1938000 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |
| Shareholder type | Promoter | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 |
|--|----------------------------------|-------------------------|-----------------|--------------------------|-------------------|------------------------------|
| Name of the Shareholders (I) | KASHYAPKUMAR RAJENDRAKUMAR MEHTA | Nikhil Maheshkumar Shah | SWARUP GUCHHAIT | PRADEEP RAMESHWAR SHARMA | USHA DEVI AGARWAL | KAUJILKUMAR HASMUKHBHAI SHAH |
| PAN (II) | ABAF1739P | ALLPS1223P | AHGGPG5098R | AHQPS7621E | AFDPA3167L | AFDPS3167L |
| No. of fully paid up equity shares held (IV) | 810000 | 225000 | 205010 | 190000 | 173344 | 142500 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 810000 | 225000 | 205010 | 190000 | 173344 | 142500 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 6.23 | 1.73 | 1.58 | 1.46 | 1.33 | 1.1 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 810000 | 225000 | 205010 | 190000 | 173344 | 142500 |
| Class eg:y | | | | | | |
| Total | 810000 | 225000 | 205010 | 190000 | 173344 | 142500 |
| Total as a % of Total Voting rights | 6.23 | 1.73 | 1.58 | 1.46 | 1.33 | 1.1 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 6.23 | 1.73 | 1.58 | 1.46 | 1.33 | 1.1 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| | | | | | | |

| | | | | | | |
|---|--------|--------|--------|--------|--------|--------|
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 810000 | 225000 | 205010 | 190000 | 173344 | 142500 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

| | |
|--|-----------------------|
| Serial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 1745854 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1745854 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 13.43 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg: X | 1745854 |
| Class eg:y | |
| Total | 1745854 |
| Total as a % of Total Voting rights | 13.43 |
| No. Of Shares Underlying Outstanding convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 13.43 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |

| | |
|---|---------|
| Number of equity shares held in dematerialized form (XIV) | 1745854 |
| Reason for not providing PAN | |
| Reason for not providing PAN | |

Any Other (specify)

| Serial No. | 1 | 2 | 3 | |
|---|--|--|--|---------------------------------------|
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | EVERGROWING IRON AND FINVEST LTD. | KOMALAY INVESTRADE PRIVATE LIMITED | ANUSTUP TRADING | Click here to go back |
| PAN (II) | AAACE1287C | AAGCK0391R | AASCA1034B | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 1200000 | 300000 | 216937 | 0 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1200000 | 300000 | 216937 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 9.23 | 2.31 | 1.67 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 1200000 | 300000 | 216937 | 0 |
| Class eg:y | | | | |
| Total | 1200000 | 300000 | 216937 | 0 |
| Total as a % of Total Voting rights | 9.23 | 2.31 | 1.67 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= | 9.23 | 2.31 | 1.67 | 0 |

| | | | | |
|---|---------|--------|--------|---|
| (VII)+(X) As a % of (A+B+C2) | | | | |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1200000 | 300000 | 216937 | 0 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence