



GOENKA BUSINESS & FINANCE LTD.

NBFC RBI CIC NO : 05.00614

Date: 19.01.2024

To,
**Department of Corporate Services
BSE Limited,**
Ground Floor, PJ Towers,
Dalal Street Fort,
Mumbai-400001

To,
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th Floor, Plot no.C62, G-block,
Opp. Trident Hotel, BandraKurla Complex,
Bandra(E)
Mumbai-400098(India)

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata-700001

BSE Script Code: 538787

MCX Script Code: GBFL

CSE Script Code: 17407

Sub: Quarterly Compliance Report on Corporate Governance for the quarter ended 31st December, 2023.

Dear Sir,

Pursuant to Regulation 27 clause (2) of SEBI Listing regulation,2015 (LODR), we are enclosing herewith the quarterly Compliance Report on Corporate Governance for the quarter ended 31st December, 2023.

Kindly acknowledge the same.

Thanking You.

For Goenka Business & Finance Ltd.

Gori Yasin
Abdul
Sattar

Digitally signed
by Gori Yasin
Abdul Sattar
Date: 2024.01.19
18:33:32 +05'30'



Mr. Yasin Gori
Whole time Director
DIN:08221979

Registered Office

18, Rabindra Sarani Poddar Court,
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Kolkata - 700001
90514668474

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Web: www.goenkabusinessfinancelimited.in
CIN: L67120WB1987PLC042960

Corporate Office

9 Sadguru Complex, 4th Floor Shivranjani
Cross Road,
Ahmedabad, Gujarat 380015
9978622878

General information about company	
Scrip code	538787
NSE Symbol	
MSEI Symbol	GBFL
ISIN	INE997C01015
Name of the entity	Goenka Business & Finance Ltd
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I**Annexure I to be submitted by listed entity on quarterly basis****I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory							Textual Information(1)	
Whether the listed entity has a Regular Chairperson							No	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	YASIN ABDUL SATTAR GORI	AXFPG5425P	08221979	Executive Director	Not Applicable		21-11- 1989
2	Mr	BHAVIKKUMA S PRAJAPATI	ALTPP4674R	08480627	Executive Director	Not Applicable		08-09- 1980
3	Mr	DARSHIL HEMENDRAKUMAR SHAH	BYHPS3635P	09013533	Non-Executive - Independent Director	Not Applicable		25-06- 1989
4	Mr	NIGAMBHAI GOVINDBHAI SATHAVARA	DHLPS7078R	09016786	Non-Executive - Independent Director	Not Applicable		04-05- 1988
5	Ms	CHARMI UMESHBHAI PARIKH	EBFPP2854F	09421573	Non-Executive - Independent Director	Not Applicable		07-07- 1999

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				
2	No				
3	No				
4	No				
5	No				

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	NA		19-09-2018				1	0	2	0		
2	NA		30-05-2019				1	0	0	0		
3	NA		01-01-2021			36	2	2	2	2		
4	NA		01-01-2021			36	1	1	1	1		
5	NA		07-12-2021			24	1	1	1	0		

Text Block	
Textual Information(1)	Yasin Abdul Sattar Gori Executive Director Bhavikkumar S Prajapati Exective Director Darshil Hemendrakumar Shah Non-Executive Independent Director Nigam Govindbhai Sathavara Non-Executive Independent Director Charmi Umeshbhai Parikh Non-Executive Independent Director

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	09016786	NIGAMBHAI GOVINDBHAI SATHAVARA	Non-Executive - Independent Director	Chairperson	01-01-2021		
2	09421573	CHARMI UMESHBHAI PARIKH	Non-Executive - Independent Director	Member	29-01-2021		
3	08221979	YASIN ABDUL SATTAR GORI	Executive Director	Member	07-12-2021		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	09421573	CHARMI UMESHBHAI PARIKH	Non-Executive - Independent Director	Chairperson	07-12-2021		
2	09016786	NIGAMBHAI GOVINDBHAI SATHAVARA	Non-Executive - Independent Director	Member	01-01-2021		
3	09013533	DARSHIL HEMENDRAKUMAR SHAH	Non-Executive - Independent Director	Member	01-01-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	09013533	DARSHIL HEMENDRAKUMAR SHAH	Non-Executive - Independent Director	Chairperson	01-01-2021		
2	08221979	YASIN ABDUL SATTAR GORI	Executive Director	Member	29-01-2021		
3	09421573	CHARMI UMESHBHAI PARIKH	Non-Executive - Independent Director	Member	07-12-2021		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	11-08-2023				Yes	5	5	3
2	04-09-2023				Yes	5	5	3
3		10-11-2023	66		Yes	5	5	3

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	11-08-2023				Yes	3	3	2	0
2	Audit Committee	10-11-2023	90			Yes	3	3	2	0

Annexure 1**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	YASIN GORI
2	Designation	Director

Details of Cyber security incidence	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No

Signatory Details	
Name of signatory	YASIN GORI
Designation of person	Director
Place	AHMEDABAD
Date	19-01-2024

